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|-------------------------------------------------------------------------------------------------------------------------------|----------------------------------|------------------------|
| FORM PTO-1449                                                                                                                 | SERIAL NO.<br>09/462,127         | CASE NO.<br>9683/58    |
| <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b><br>(use several sheets if necessary) | FILING DATE<br>December 29, 1999 | GROUP ART UNIT<br>2654 |
| APPLICANT(S): NOBUHIKO NAKA                                                                                                   |                                  |                        |

RECEIVED

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS/ SUBCLASS | FILING DATE |
|------------------|-----------------|------|------|-----------------|-------------|
|                  | A1              |      |      |                 |             |
|                  | A2              |      |      |                 |             |
|                  | A3              |      |      |                 |             |
|                  | A4              |      |      |                 |             |
|                  | A5              |      |      |                 |             |
|                  | A6              |      |      |                 |             |
|                  | A7              |      |      |                 |             |
|                  | A8              |      |      |                 |             |
|                  | A9              |      |      |                 |             |
|                  | A10             |      |      |                 |             |
|                  | A11             |      |      |                 |             |
|                  | A12             |      |      |                 |             |
|                  | A13             |      |      |                 |             |

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE            | COUNTRY  | CLASS/ SUBCLASS | TRANSLATION YES | NO |
|------------------|-----------------|-----------------|----------|-----------------|-----------------|----|
| 2d               | A14             | JP 06-012095, A | 01/21/94 | JAPAN           | —               | X  |
| 2d               | A15             | JP 02-256308, A | 10/17/90 | JAPAN           | —               | X  |
|                  | A16             |                 |          |                 |                 |    |
|                  | A17             |                 |          |                 |                 |    |
|                  | A18             |                 |          |                 |                 |    |

| EXAMINER INITIAL | OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)                                                                                                                          |
|------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 2d               | A19 IEEE Transactions on Speech and Audio Processing, Vol.6, No.2, March 1998, Red Salami et al., "Design and Description of CS-ACELP: A Toll Quality 8 kb/s Speech Coder", pages 116-130 |
|                  | A20                                                                                                                                                                                       |
|                  | A21                                                                                                                                                                                       |
|                  | A22                                                                                                                                                                                       |
|                  | A23                                                                                                                                                                                       |
|                  | A24                                                                                                                                                                                       |

|                 |                              |
|-----------------|------------------------------|
| EXAMINER<br>JAS | DATE CONSIDERED<br>4/15/2002 |
|-----------------|------------------------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.